



PATENT  
Attorney Docket No. MRE-001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Ruth CONFIRMATION NO.: 1243  
SERIAL NO.: 10/621,081 GROUP NO.: 3629  
FILING DATE: July 16, 2003 EXAMINER: Not yet assigned  
TITLE: A System and Method for Providing Corporate Governance-Related Services

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with the U.S. Patent Office's partial waiver of the requirement under 37 C.F.R. 1.98(a)(2)(i), only copies of the foreign patent documents and non-patent publications are enclosed.

**REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

### STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

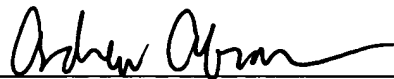
- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Date: April 16, 2004  
Reg. No.: 52,538

Tel. No.: (617) 248-7506  
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3053522

Respectfully submitted,



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# TRANSMITTAL FORM

|                           |                  |
|---------------------------|------------------|
| Application Serial Number | 10/621,081       |
| Filing Date               | July 16, 2003    |
| First Named Inventor      | Ruth             |
| Confirmation No.          | 1243             |
| Group Art Unit            | 3629             |
| Examiner Name             | Not yet assigned |
| Attorney Docket No.       | MRE-001          |
| Patent No.                | Not applicable   |
| Issue Date                | Not applicable   |

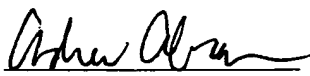
## ENCLOSURES (check all that apply)

|  |   |  |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Check Attached<br><input type="checkbox"/> Copy of Fee Transmittal Form  | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application  | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Response<br><input type="checkbox"/> Preliminary<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____] | <input type="checkbox"/> Formal Drawing(s)  | <input type="checkbox"/> Appeal Brief (in triplicate)                                      |
| <input type="checkbox"/> Petition for Extension of Time  | <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal  | <input type="checkbox"/> Status Inquiry  |
| <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement<br><input checked="" type="checkbox"/> Form PTO-1449<br><input checked="" type="checkbox"/> Copy of IDS Citation C1  | <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)   | <input checked="" type="checkbox"/> Return Receipt Postcard                                |
| <input type="checkbox"/> Certified Copy of Priority Document(s)  | <input type="checkbox"/> Terminal Disclaimer  | <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 |
| <input type="checkbox"/> Sequence Listing submission<br><input type="checkbox"/> Paper Copy/CD<br><input type="checkbox"/> Computer Readable Copy<br><input type="checkbox"/> Statement verifying identity of above  | <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application                        | <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8         |
|  | <input type="checkbox"/> Small Entity Statement   | <input type="checkbox"/> Additional Enclosure(s) (please identify below)                   |
|  | <input type="checkbox"/> CD(s) for large table or computer program  |  |
|  | <input type="checkbox"/> Amendment After Allowance  |  |
|  | <input type="checkbox"/> Request for Certificate of Correction<br><input type="checkbox"/> Certificate of Correction (in duplicate) |  |

## CORRESPONDENCE ADDRESS

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## SIGNATURE BLOCK

Respectfully submitted,  
  
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**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 16 day of April, 2004.

Andrew Abramson

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith is/are:

1. Transmittal Form (1 pg.);
2. Supplemental Information Disclosure Statement (2 pgs.);
3. Form PTO-1449 (1 pg.);
4. Copy of Cited Reference C1; and
5. a return receipt postcard.



|  |   |   |             |                                     |              |                      |                                       |
|--|---|---|-------------|-------------------------------------|--------------|----------------------|---------------------------------------|
| <b>FORM PTO - 1449</b>                                   |   |   |             | <b>ATTORNEY DOCKET NO.: MRE-001</b> |              |                      |                                       |
| <b>SUPPLEMENTAL INFORMATION<br/>DISCLOSURE STATEMENT</b> |   |   |             | <b>APPLICANT(S): Ruth</b>           |              |                      |                                       |
|  |   |   |             | <b>SERIAL NO.: 10/621,081</b>       |              |                      |                                       |
|  |   |   |             | <b>FILING DATE: July 16, 2003</b>   |              |                      |                                       |
|  |   |   |             | <b>CONFIRMATION NO.: 1243</b>       |              |                      |                                       |
|  |   |   |             | <b>GROUP: 3629</b>                  |              |                      |                                       |
| <b>U.S. PATENT DOCUMENTS</b>                             |   |   |             |                                     |              |                      |                                       |
| <b>EXAM.<br/>INIT.</b>                                   |   | <b>DOCUMENT<br/>NUMBER</b>  | <b>DATE</b> | <b>NAME</b>                         | <b>CLASS</b> | <b>SUB<br/>CLASS</b> | <b>FILING DATE IF<br/>APPROPRIATE</b> |
|  | A4  | 6,256,640 B1  | 7/3/01      | Smalley et al.                      | 707          | 104                  | 3/20/00                               |
|  | A5  | 5,623,403   | 4/22/97     | Highbloom                           | 395          | 228                  | 5/11/95                               |
|  | A6  | 6,163,732   | 12/19/00    | Petke et al.                        | 700          | 106                  | 12/11/97                              |
| <b>U.S. PATENT APPLICATION PUBLICATION DOCUMENTS</b>     |   |   |             |                                     |              |                      |                                       |
|  | A7  | 2002/0026339 A1   | 2/28/02     | Frankland et al.                    | 705          | 7                    | 3/1/01                                |
|  | A8  | 2003/0153991 A1   | 8/14/03     | Visser et al.                       | 700          | 79                   | 7/22/02                               |
|  | A9  | 2002/0143595 A1   | 10/3/02     | Frank et al.                        | 705          | 8                    | 2/5/01                                |
|  | A10   | 2003/0131011 A1   | 7/10/03     | Haunschild et al.                   | 707          | 100                  | 5/14/02                               |
|  | A11   | 2002/0194014 A1   | 12/19/02    | Starnes et al.                      | 705          | 1                    | 4/19/02                               |
| <b>OTHER ART, JOURNAL ARTICLES, ETC.</b>                 |   |   |             |                                     |              |                      |                                       |
| <b>EXAM.<br/>INIT.</b>                                   | <b>OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)</b> |   |             |                                     |              |                      |                                       |
|  | C1  | Copy of International Search Report PCT/US03/22245, Mailing Date 1/15/04. |             |                                     |              |                      |                                       |
| <b>EXAMINER</b>  |   |   |             | <b>DATE CONSIDERED</b>              |              |                      |                                       |